

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: T. UCHIDA, et al.
 Application No.: 09/869,347
 Filed: SEPTEMBER 7, 2001
 For: MATERIALS FOR POLISHING LIQUID FOR METAL,
 POLISHING LIQUID FOR METAL, METHOD FOR
 PREPARATION THEREOF AND POLISHING METHOD USING
 THE SAME
 Group AU: 2813
 Examiner: L. M. Schillinger
 Confirm. No: 4090

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

February 1, 2007

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Official Action issued November 16, 2006, in a counterpart European application. Also enclosed is a copy of one of the documents cited in the above-identified Official Action (that is, International Publication No. WO98/26025). The other two documents cited in the enclosed Official Action, International Publication No. WO98/18159 and U.S. Patent No. 5,770,095, have previously been supplied to the Examiner in the above-identified application, with the Information Disclosure Statement submitted June 13, 2005, and/or upon originally filing the above-identified application as listed in the International Search Report for International (PCT) Application No. PCT/JP99/07402 (of which the above-identified application is a National Stage Application filed under 35 USC 371).

This Information Disclosure Statement is being submitted subsequent to

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issuance of an Office Action mailed October 16, 2006, closing prosecution on the merits in the above-identified application. For satisfying requirements under 37 CFR 1.97(d), the fee set forth in 37 CFR 1.17(p) is enclosed, and the following Statement is made under 37 CFR 1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with present submission of the enclosed documents. Accordingly, consideration of the enclosed documents, and subsequent passing of the above-identified application to issue, in due course, are respectfully requested.

Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case No. 1204.40319X00), or credit any excess fees paid to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>		Complete if Known	
		Application Number	09/869,347
		Filing Date	9/7/01
		First Named Inventor	UCHIDA, et al.
		Art Unit	2813
		Examiner Name	L.M. Schillinger
Sheet	1	of	2
		Attorney Docket Number	1204.40319X00

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

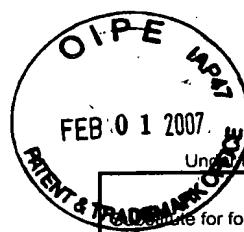
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)				
		WO 98/26025	6-18-1998	Cabot Corp.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/869,347
Filing Date	9/7/01
First Named Inventor	UCHIDA, et al.
Group Art Unit	2813
Examiner Name	L.M. Schillinger
Attorney Docket Number	1204.40319X00

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

14. Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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